

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13062-002001	Application No.
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Chung-Hsiun Wu et al.	
		Filing Date Herewith	Group Art Unit

 11046 U.S. PTO
10/07/2013

02/14/02

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
<i>W</i>	AA	5,541,087	07/30/1996	Lo et al.	435	697	
	AB	5,703,055	12/30/1997	Felgner et al.	514	44	
<i>W</i>	AC	5,721,121	02/24/1998	Etcheverry et al.	435	69.7	
	AD	5,726,044	03/10/1998	Lo et al.	435	69.7	
	AE	6,214,804 B1	04/10/2001	Felgner et al.	514	44	

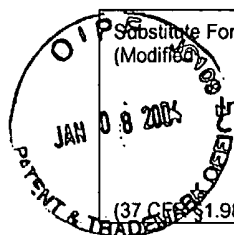
Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
<i>W</i>	AF	WO 00/29442	05/25/2000	PCT				

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
<i>W</i>	AG	Barry et al., "Production of Monoclonal Antibodies by Genetic Immunization," BIOTECHNIQUES, 16(4):616-619 (1994)
	AH	Gregoriadis et al., "Liposome-mediated DNA vaccination," FEBS LETTERS, 402:107-110 (1997)
	AI	He et al., "Intravenous Injection of Naked DNA Encoding Secreted flt3 Ligand Dramatically Increases the Number of Dendritic Cells and Natural Killer Cells <i>in Vivo</i> ," HUMAN GENE THERAPY, 11:547-554 (March 1, 2000)
	AJ	Liu et al., "Hydrodynamics-based transfection in animals by systemic administration of plasmid DNA," GENE THERAPY, 6:1258-1266 (1999)
	AK	Swinbanks et al., "Taiwan backs experience in quest for biotech success," NATURE, 407:417-426 (September 21, 2000)
	AL	Tang et al., "Genetic immunization is a simple method for eliciting an immune response," NATURE, 356:152-154 (March 12, 1992)
	AM	Templeton et al., "Improved DNA: liposome complexes for increased systemic delivery and gene expression," NATURE BIOTECHNOLOGY, 15:647-652 (July 1997)
	AN	Zhang et al., "High Levels of Foreign Gene Expression in Hepatocytes after Tail Vein Injections of Naked Plasmid DNA," HUMAN GENE THERAPY, 10:1735-1737 (July 1, 1999)
	AO	Zhang et al., "Long-term expression of human alpha1-antitrypsin gene in mouse liver achieved by intravenous administration of plasmid DNA using a hydrodynamics-based procedure," GENE THERAPY, 7:1344-1349 (2000)
	AP	Zhou et al., "Protective immunization against melanoma by gp100 DNA-HVJ-liposome vaccine," GENE THERAPY, 6:1768-1773 (1999)

Examiner Signature <i>W</i>	Date Considered <i>6/23/04</i>
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	


 Substitute Form PTO-1449
 (Modified)

 U.S. Department of Commerce
 Patent and Trademark Office

 Attorney's Docket No.
 13062-002001

 Application No.
 10/077,213

**Information Disclosure Statement
 by Applicant**

(Use several sheets if necessary)

(37 CFR 1.98(b))

 Applicant
 Chung-Hsiun Wu et al.

 Filing Date
 February 14, 2002

 Group Art Unit
 1632

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
<i>AB</i>	AA	5,959,171	09/28/1999	Hyttinen et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

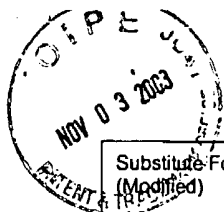
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature

Date Considered

6/23/04

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

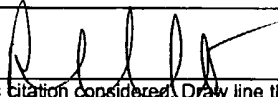
Sheet 1 of 1

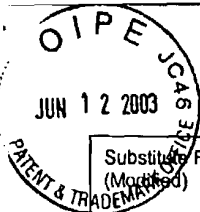
Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13062-002001	Application No. 10/077,213
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Chung-Hsiun Wu et al.	
		Filing Date February 14, 2002	Group Art Unit 1632

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
JS	AA	5,843,678	12/01/1998	Boyle			
	AB	6,001,973	12/14/1999	Strom et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
JS	AC	BROWN. S. J. et al. Expression and ligand binding assays of soluble cytokine receptor-immunoglobulin fusion proteins. Prot. Exp. Purif. 1998, Vol. 14, pps. 120-124
	AD	DWYER. M. A. et al. Expression and characterization of a DNase I-Fc fusion enzyme. J. Biol. Chem. April 1999, Vol. 274, No. 14, pps. 9738-9843
	AE	LUNDEBERG, J. et al. Affinity Purification of specific DNA fragments using a lac repressor fusion protein. Genet. Anal. Techn. Appl. 1990, Vol 7, pps. 47-52
	AF	MATSUDA. T. et al. Establishment of the elisa fo murine soluble gp130, a signal transducer for the IL-6 family cytokine, and its detection in the ascitic fluids of tumor-bearing mice. Biochem. Biophys. Res. Comm. 1994, Vol. 202, No. 1, pps. 637-642
JS	AG	STEWART. L.M. et al. Humanisation and characterization of PR1A3, a monoclonal antibody specific for cell-bound carcinoembryonic antigen. Cancer Immunol., Immunother. 1999, vol 47, pps. 299-306

Examiner Signature 	Date Considered <u>6/23/04</u>
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

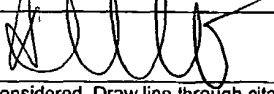
Sheet 1 of 1

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13062-002001	Application No. 10/077,213
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Chung-Hsiun Wu et al.	
		Filing Date February 14, 2002	Group Art Unit 1632

RECEIVED
JUN 13 2003
TECH CENTER 1600/2900

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
Initial	AA	6,146,845	Nov. 14, 2000	Kikly et al.			

Foreign Patent Documents or Published Foreign Patent Applications												
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation					
							Yes	No				
Other Documents (include Author, Title, Date, and Place of Publication)												
Examiner Initial	Desig. ID	Document										
	AB											

Examiner Signature 	Date Considered 6/23/04
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	